



बालोतरा अरबन को-ऑपरेटिव बैंक लिमिटेड, बालोतरा
प्रधान कार्यालय, टेलिफोन एक्सचेंज के पास, औद्योगिक क्षेत्र,
बालोतरा – 344 022 (राजस्थान)

क्रमांक बीयूसीबी / 158

दिनांक 18.05.2024

विज्ञप्ति

बैंक के वित्तीय वर्ष 2024-25 के लेखा परीक्षण हेतु सांविधिक अंकेक्षक की नियुक्ति के लिए सहकारी विभाग, राजस्थान के पैनल में अधिकृत एवं वांछित योग्यता धारक CA/Chartered Firm/Company से आवेदन आमंत्रित किए जाते हैं। आवेदन करने की अंतिम तिथि 27/05/2024 सायं 5 बजे तक है। आवेदन पत्र ऑनलाइन/ऑफलाइन माध्यम से प्रेषित किए जा सकते हैं।

आवेदन हेतु नियम शर्तें एवं प्रोफार्मा साथ में संलग्न है।

Term and Conditions – Appointment as Statutory Auditor

A. Basic Eligibility

| Asset Size of Entity as on 31st March of Previous Year | Minimum No. of Full-Time partners (FTPs) associated with the firm for a period of at least three (3) years Note 1 | Out of total FTPs, Minimum No. of Fellow Chartered Accountant (FCA) Partners associated with the firm for a period of at least three (3) years | Minimum No. of years of Audit Experience of the firm Note 2 | Minimum No. of Professional staff Note 3 |
|--|--|--|--|---|
| Upto ₹1000 crore | 2 | 1 | 6 | 8 |

Note 1: There should be at least one-year continuous association of partners with the firm as on the date of empanelment (for PSBs)/ shortlisting (for other Entities) for considering them as full time partners.

(a) The full-time partner should not be a partner in other firm/s.

(b) She/He should not be employed full time / part time elsewhere.

Note 2: Audit experience mean experience of the audit firm as Statutory Central/Branch Auditor of Commercial Banks/UCBs/NBFCs/AIFIs.

Note 3: Professional staff includes audit and article clerks with knowledge of book-keeping and accountancy and who are engaged in on-site audits but excludes typists/stenos/computer operators/ secretaries/subordinate staff, etc. There should be at least one-year continuous association of professional staff with the firm as on the date of empanelment (for PSBs)/ shortlisting (for other Entities) for considering them as professional staff for the purpose.

Other Compliance and Consideration :

1. Firm or one of partner should be member of Rajasthan cooperative Department audit penal.
2. The firm/partner should not be Concurrent Auditor of the Bank.
3. Firm/LLP has not been debarred by any regulator/Govt. Agency from taking up audit assignments.
4. Number of Full Time Partners/ Paid CAs with CISA/ISA Qualification.
(Not mandatory to be an auditor of the bank)
5. Eligibility Certificate required duly signed by the applicant CA firm. (As per Annex-I)

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(Chief Executive Officer)

Annex - I

Eligibility Certificate from (Name and Firm Registration Number of the firm)

A. Particulars of the firm:

| Asset Size of Entity as on 31 st March of Previous Year | Number of Full-Time partners (FTP)s associated* with the firm for a period of three (3) years | Out of total FTPs, Number of FCA Partners associated with the firm for a period of three (3) years | Number of Full Time Partners/ Paid CAs with CISA/ISA Qualification | Number of Years of Audit Experience# | Number of Professional staff |
|--|---|--|--|--------------------------------------|------------------------------|
| | | | | | |

*Exclusively associated in case of all Commercial Banks (excluding RRBs), and UCBs/NBFCs with asset size of more than ₹ 1,000 crore

#Details may be furnished separately for experience as SCAs/SAs and SBAs

B. Additional Information:

1. Copy of Constitution Certificate.
2. Whether the firm is a member of Rajasthan Cooperative Department network of audit firms or any partner/director of the firm is a partner in any other audit firm? If yes, details thereof.
3. Whether the firm has been appointed as SCA/SA by any other Commercial Bank (excluding RRBs) and/or All India Financial Institution (AIFI)/RBI/NBFC/UCB in the present financial year? If yes, details thereof.
4. Whether the firm has been debarred from taking up audit assignments by any regulator/Government agency? If yes, details thereof.
5. Details of disciplinary proceedings etc. against firm by any Financial Regulator/ Government agency during last three years, both closed and pending.

C. Declaration from the firm

The firm complies with all eligibility norms prescribed by RBI regarding appointment of SCAs/SAs of Commercial Banks (excluding RRBs)/UCBs/NBFCs (as applicable). It is certified that neither I nor any of our partners / members of my / their families (family will include besides spouse, only children, parents, brothers, sisters or any of them who are wholly or mainly dependent on the Chartered Accountants) or the firm / company in which I am / they are partners / directors have been declared as wilful defaulter by any bank / financial institution.

It is confirmed that the information provided above is true and correct.

Signature of the Partner

(Name of the Partner)

Date: